

Improved Regularisation for Automatic Data Augmentation

CS 748 Project Proposal

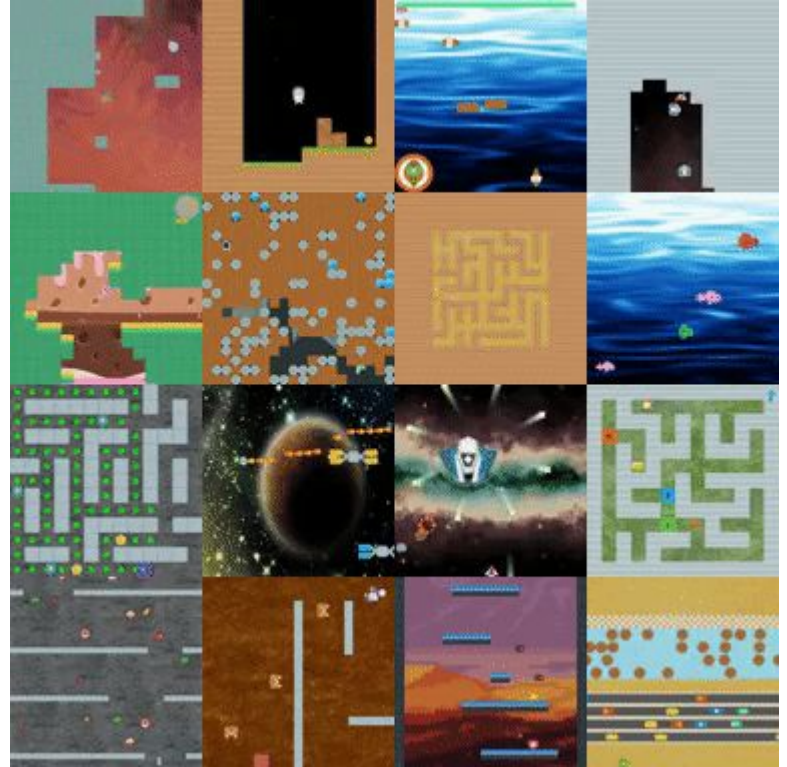


About the Project

- Automatic Data Augmentation has been proposed to improve the performance of models by the means of better generalisation capabilities.
- **RAD**, followed by **DrAC**, both set state-of-art scores on the *ProcGen Benchmarks*
- We propose Improved Regularization for Automatic Data Augmentation for both the value as well as the policy function

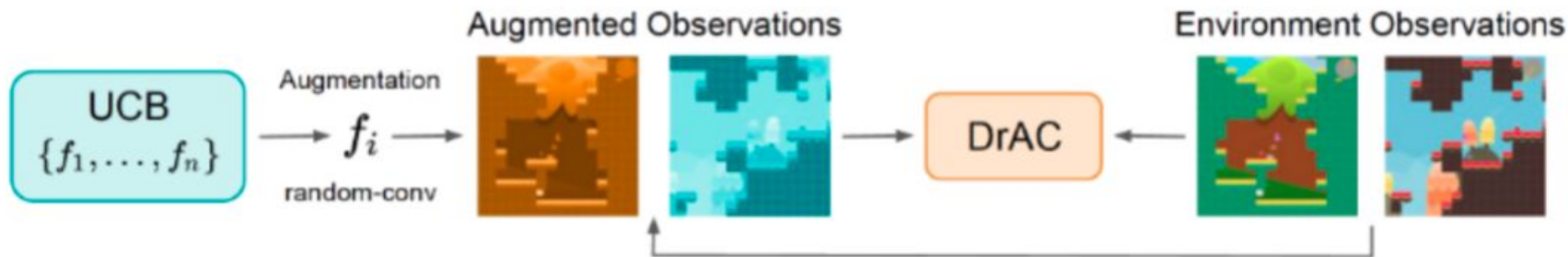
ProcGen Environments

- 16 different games (environments)
- Measures both efficiency and generalisability of the model
- Each environment can generate distinct training and testing sets



Automatic Data Augmentation

- **DrAC** is an algorithm, given an image transformation (data augmentation), optimizes the policy and value function with regularization terms
- The paper proposes three methods to automate the process of finding the best data augmentations for training the algorithm
- We follow one of them: **UCB-DrAC**



DrAC

The base algorithm is an actor critic based PPO algorithm to learn an optimal policy and a value function given an MDP

DrAC proposes two regularization terms for the policy and value function: G_π and G_V respectively

UCB-DrAC

Algorithm 2 UCB-DrAC

- 1: **Hyperparameters:** Set of image transformations $\mathcal{F} = \{f^1, \dots, f^n\}$, exploration coefficient c , window for estimating the Q-functions W , number of updates K , initial policy parameters π_θ , initial value function V_ϕ .
 - 2: $N(f) = 1, \forall f \in \mathcal{F}$ ▷ Initialize the number of times each augmentation was selected
 - 3: $Q(f) = 0, \forall f \in \mathcal{F}$ ▷ Initialize the Q-functions for all augmentations
 - 4: $R(f) = \text{FIFO}(W), \forall f \in \mathcal{F}$ ▷ Initialize the lists of returns for all augmentations
 - 5: **for** $k = 1, \dots, K$ **do**
 - 6: $f_k = \operatorname{argmax}_{f \in \mathcal{F}} \left[Q(f) + c \sqrt{\frac{\log(k)}{N(f)}} \right]$ ▷ Use UCB to select an augmentation
 - 7: Update the policy and value function according to Algorithm 1 with $f = f_k$ and $K = 1$:
 - 8: $\theta \leftarrow \operatorname{argmax}_\theta J_{\text{DrAC}}$ ▷ Update the policy
 - 9: $\phi \leftarrow \operatorname{argmax}_\phi J_{\text{DrAC}}$ ▷ Update the value function
 - 10: Compute the mean return obtained by the new policy r_k .
 - 11: Add r_k to the $R(f_k)$ list using the first-in-first-out rule.
 - 12: $Q(f_k) \leftarrow \frac{1}{|R(f_k)|} \sum_{r \in R(f_k)} r$
 - 13: $N(f_k) \leftarrow N(f_k) + 1$
 - 14: **end for**
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Project Goals



Goal 1

Replacing KL Divergence with JS Divergence



Goal 2

Replacing L2 Norm With Elastic Net Based Regularisation



Goal 3

Proposing Thompson Sampling for Selecting Augmentations

1: Replacing KL Divergence with JS Divergence

- The *Jensen-Shannon (JS) Divergence* is given as :

$$JS(P||Q) = 1/2 \times KL(P||M) + 1/2 \times KL(Q||M) \quad \text{where } M = (P + Q)/2$$

- UCB-DrAC uses KL Divergence for regularising the policy \mathbf{G}_π **regularization term.**
- $KL(P || Q)$ is known to punish any sample x that obeys $Q(x)=0$ and $P(x) \neq 0$.
- The *JS Divergence* on the other hand is symmetric and much smoother than the *KL Divergence*.

2: Replacing L2 Norm With Elastic Net Based Regularisation

- L2 norm is known to punish the outliers heavily as compared to L1 norm.
- L1 norm is known to induce sparsity in the corresponding the network, which in turn encourages better generalisations in the model.
- We would also like to penalise any state value that is very far from the un-transformed state values to not affect the subsequent policy significantly.
- Hence we propose to use the elastic net regularisation instead of the current L2 regularisation in the **G_V regularisation term**.

3: Propose Thompson Sampling for Selecting Augmentations

- We would also like to explore more recent methods for selecting an augmentation at each step of training.
- Currently this is being done by using an epsilon-greedy strategy in DrAC algorithm.
- We would like to explore the use of Thompson Sampling which is known to achieve optimal regret (sub-linear) as compared to epsilon greedy which achieves linear regret.

Our Team

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